

PLENARY SESSION

# HAS consultants assessment

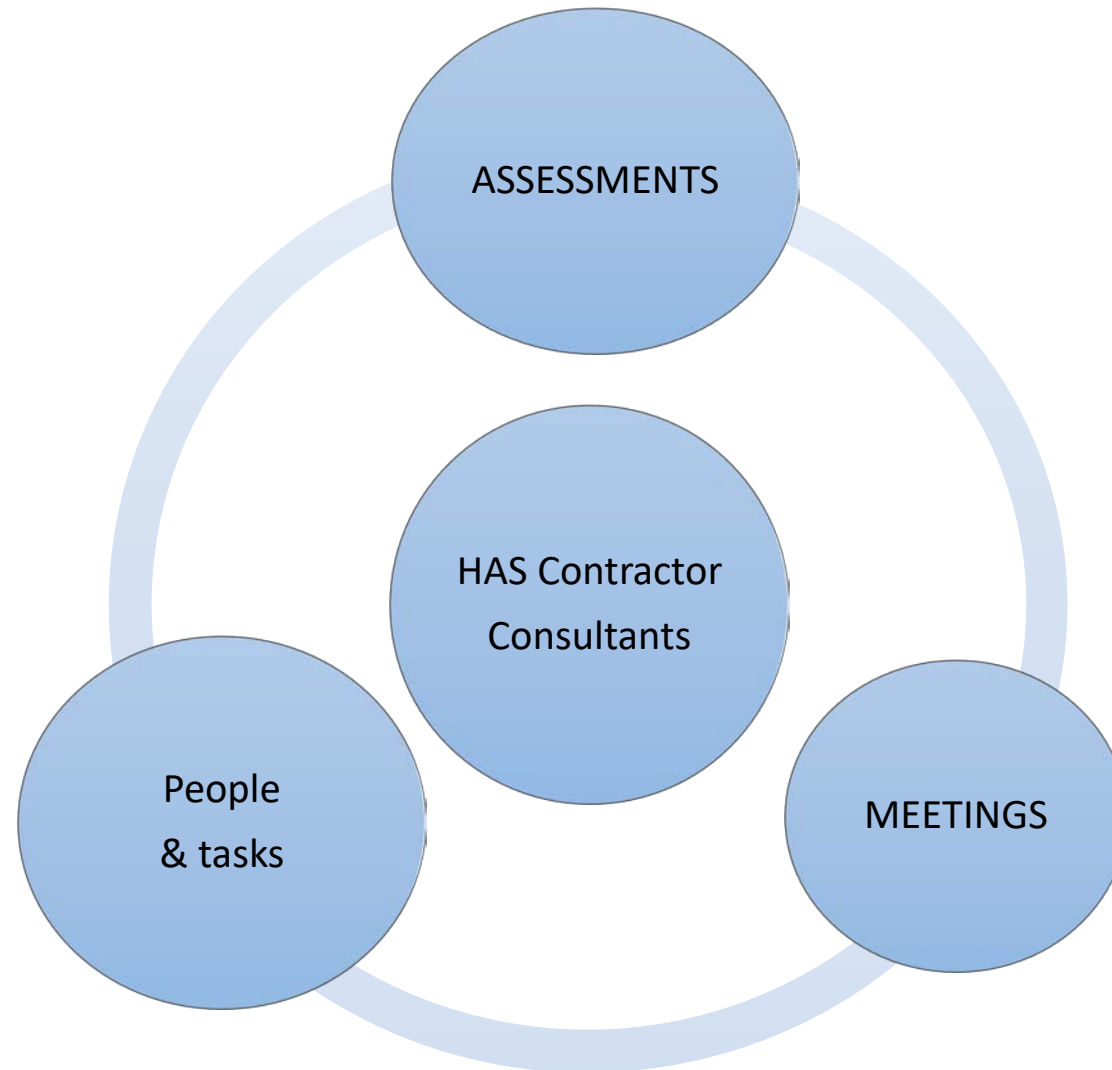
Alexandre BELTRÃO

Account Manager - Digital Production

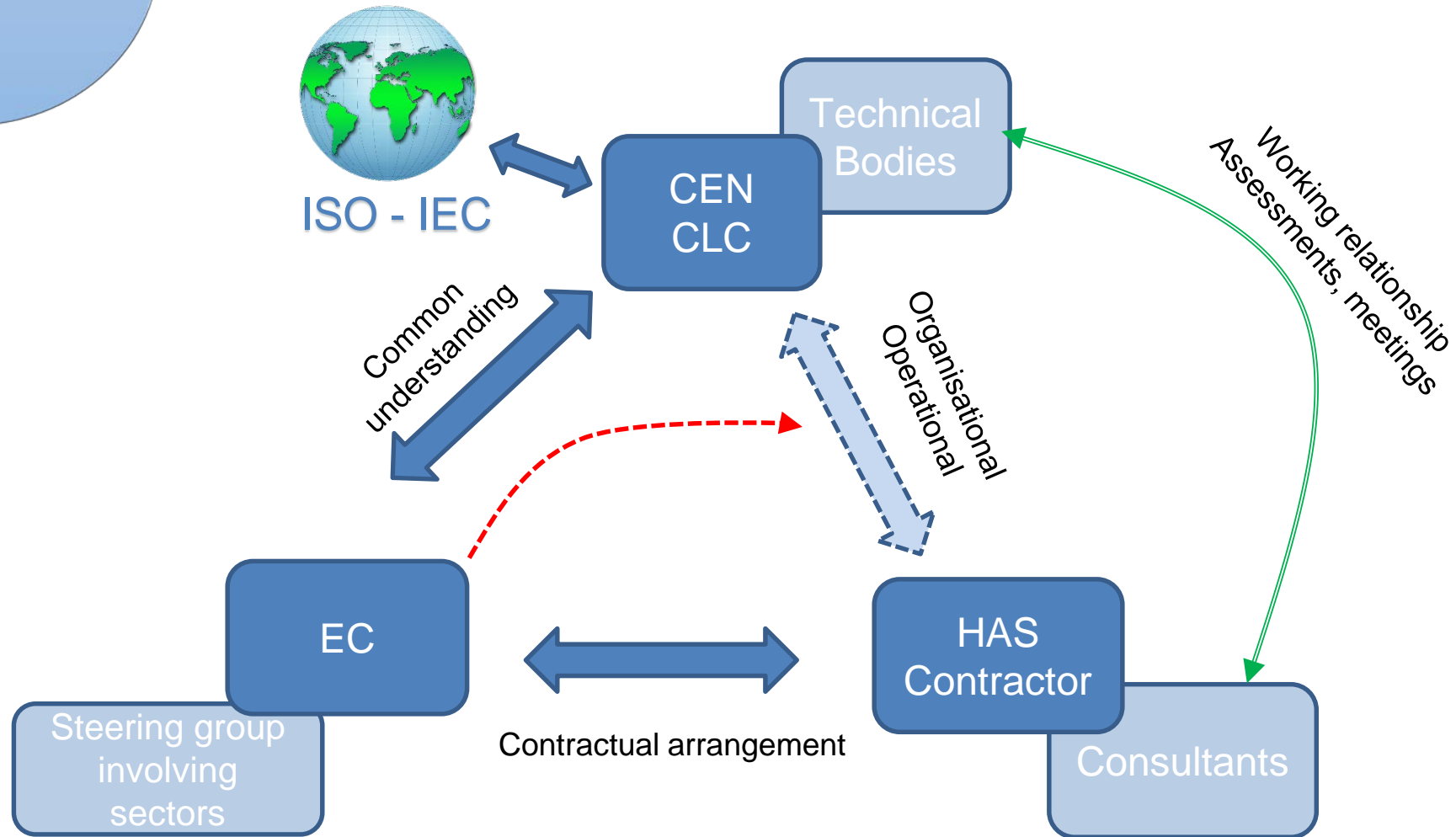
Thierry LEGRAND

Customer Service Specialist - Mobility

# Working with the HAS Consultant framework



# Relations for a joint assessment





HAS Contractor  
Consultants

## Relations for a joint assessment

### **HAS CONTRACTOR = Ernst & Young (EY)**

The formal contact point towards EC and ESO's,  
with 3 main tasks:

- Administrative & logistical tasks to coordinate the tasks and work of the consultants, including recruitment
- Horizontal verifications and checks of non-technical nature with regard to documents to be assessed by the consultants
- Evaluation of the assessment results and the effectiveness of the assessment concept in order to make recommendations to the Commission

The Contractor will be managing **the pool of harmonized standards consultants 'HAS Consultants'**



# Relations for a joint assessment

## HAS CONSULTANT

The independent experts selected, hired and managed by the HAS Contractor to perform the following main tasks:

- 'Compliance assessment' of the documents drafted by the ESO's
- 'Communication' to the technical bodies of the ESO's to give advice in order **to contribute compliance of the drafted documents** with the EC's standardization requests  
→ MEETINGS



## Assessments

- the Work Item (WI) needs to be:
  - linked to the relevant legislation and standardization request



- In the Technical Body Work Programme
- Reflected as such in the database

AND



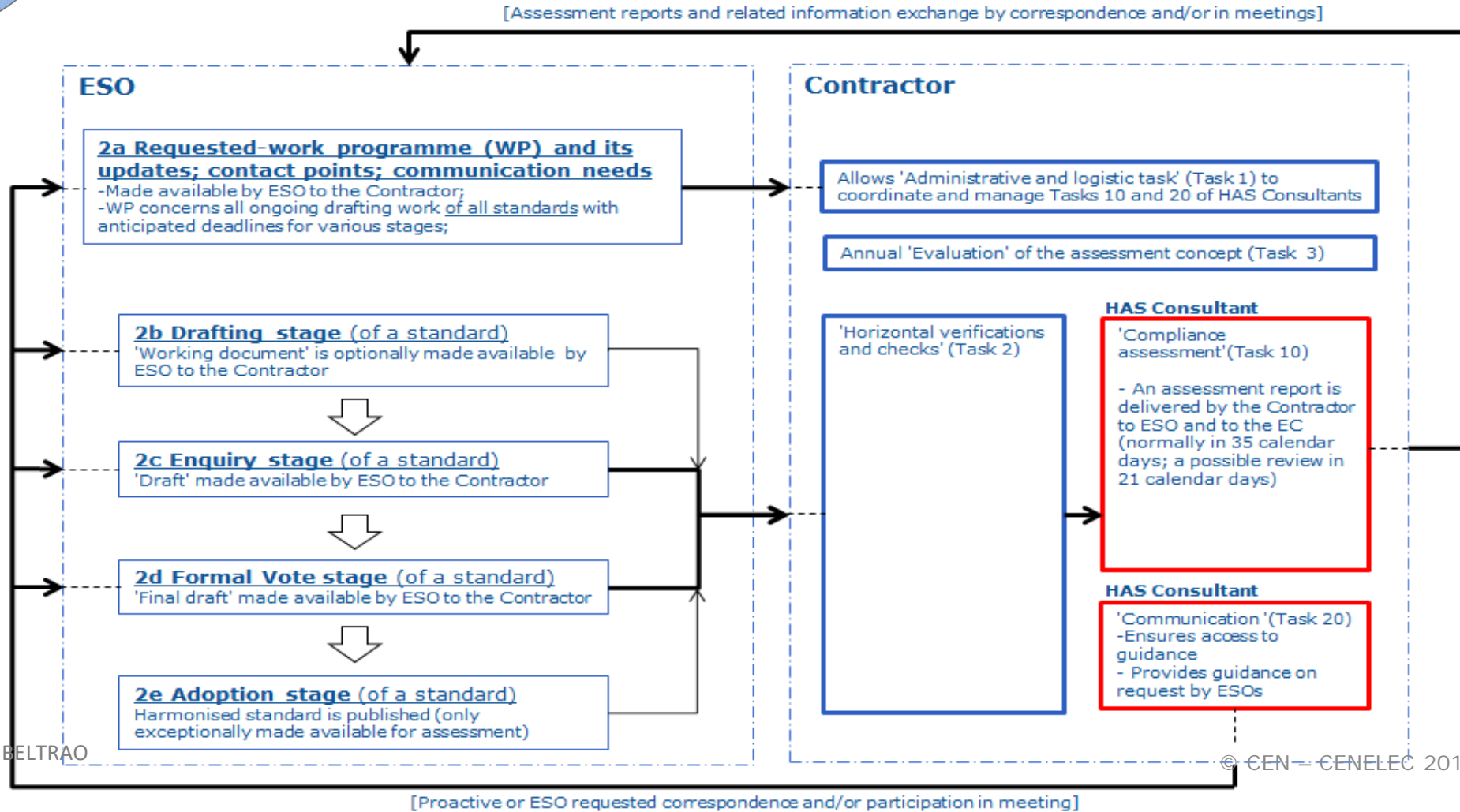
- registered in an EC approved Work Programme for the Standardization Request (where relevant)

→ Ensure Technical Body Work Programme is up-to-date!



# Interaction with the standards development

indicative flowchart taken from EC Tender  
→ contractual relation EC/EY, subject to intermediate evaluation by EC





## Assessments

- When?
  - at Drafting stage
  - at Enquiry stage
  - at Formal Vote stage
  - on documents that have already been voted upon.
- Duration:
  - normally 5 weeks after notification to HAS CONTRACTOR (EY)





## Assessments

- Outcome

'Compliant'	The publication of the references in the OJ is normally possible without any specific notice
'Partial compliance'	The publication of the references in the OJ could be possible with a notice; such a notice shall be outlined in the report for already adopted standards and for documents assessed before formal vote
'Lack of compliance'	The publication in the OJ is likely not possible before the document is revised



## Assessments

- REVIEW (challenge)
    - on justified disagreement of the Technical Body
    - as specific as possible
    - related to the work item
    - can relate to (examples)
      - Interpretations of guidance that have not been agreed upon;
      - debatable interpretations Essential Requirements (ER) and their consequences for particular products covered in the respective standard
      - the consultants interfering in the drafting and consensus building processes of the ESO's or providing scientific, legal or technical comments which would go beyond normal assessment tasks;
      - deviation from sector specific arrangements that have been validated with the EC.
- decision to request a review of an assessment must be supported by a consultation of CCMC, relevant sector fora, etc**
- Duration:
    - normally 3 weeks after notification to HAS CONTRACTOR (EY)
  - Who and How
    - Technical Body Secretary to request a review to the CCMC Project Manager



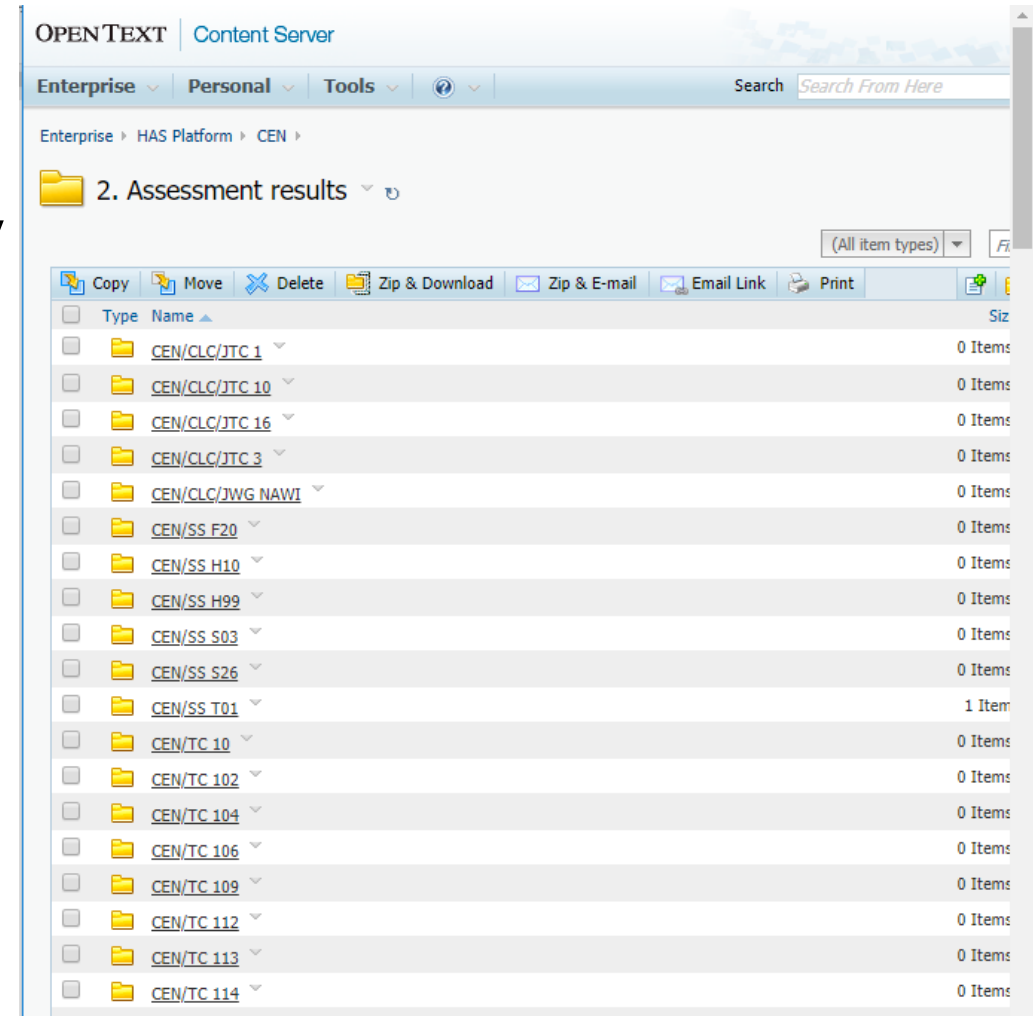
# Interaction with the standards development

## Assessments

- WHERE TO FIND
  - LIVELINK 'HAS PLATFORM'



SET YOUR NOTIFICATIONS!





## Interaction with the standards development

### Assessments: DRAFTING STAGE (indicative)

- CEN or CENELEC 'Working Draft – 20.60'  
(Parallel work: equivalent stages)
- TC Secretary to submit to CCMC the Working Draft
  - According to process [CEN BOSS](#) or [CENELEC BOSS](#)
  - Transmission notice to indicate request for assessment
  - Foresee the specific (European) elements
    - First draft Annex ZA / ZZ or indication of intended coverage of E.R.
    - For parallel work: CD-text
    - When available:  
Justification of Normative refs, risk assessment, ...
- As per reception of Assessment report:
  - available to Technical Body on LIVELINK



ASSESSMENTS

Interaction with the standards development

## Assessments: DRAFTING STAGE (indicative)

Dealing with the outcome

- consider the outcome of the assessment and comments
- challenge of the assessment (review)
  - exceptional at this stage
  - does not stop development

→ Possibly call 'meeting'



## Assessments: ENQUIRY stage

(Parallel work: ISO/IEC approval to go to DIS)

- TC Secretary to submit ENQUIRY TEXTS according to process in [CEN BOSS](#) or [CENELEC BOSS](#)

*note: // procedure will run automatically but needs to be completed!*

- events will automatically trigger assessment
  - correct identification in work programme!
- Ensure specific (European) elements are included
- Annex ZA /ZZ indicating coverage of E.R.
- [CENELEC FA: Annex ZA](#)
- Transmission notice to mention relevant documentation

*Note: Possibly complementing the // procedure*

- Justification of Normative refs, risk assessment, ...
  - Normative references relevant for intended 'Presumption of conformity'
- As per reception of Assessment report:
    - available to Technical Body on LIVELINK





Interaction with the standards development

Assessments: ENQUIRY stage

Dealing with the outcome


- Assessment result '**compliant**'
  - if all other criteria are met: possibility to skip FV



## Interaction with the standards development

### Assessments: ENQUIRY stage

#### Dealing with the outcome

- Assessment result '**partial compliance**' – '**lack of compliance**'
  - Launch of Enquiry is not delayed
  -  **NEW!!** CRM → organized as **empowered prepublication TC meeting**
    - To be integrated in **CEN BOSS or CENELEC BOSS**
      - Systematically plan ahead - max. 16 weeks after closure Enquiry
      - Involving the HAS Contractor/Consultant and EC Desk Officer\*
    - Aim = resolve issues & jointly take difficult decisions in a transparent way
      - without technical changes → possibility to skip FV (all other criteria met)
      - with technical changes → prepare final draft for FV with more assurance
    - Issues not resolved?
      - Decide on the future of the project, e.g. proceeding as a non-harmonized standard.
      - BT shall be consulted to endorse the decision.





# Interaction with the standards development

Assessments : Formal Vote stage

Homegrown - prior to Formal Vote!

ISO or IEC // - trigger approval to go to FDIS

- TC Secretary to submit FV TEXTS according to process in [CEN BOSS](#) or [CENELEC BOSS](#)

*note: // procedure will run automatically but needs to be completed!*



- events will automatically trigger assessment
  - correct identification in work programme!
- Ensure specific (European) elements are included (also in ISO text)
- Annex ZA/ZZ indicating coverage of E.R.
- CENELEC FA: Annex ZA; consider Common Modifications
- Transmission notice to mention relevant documentation + A11
  - Note: Possibly complementing the // procedure*
  - Justification of Normative refs, risk assessment, ...
  - Normative references relevant for intended 'Presumption of conformity'

- As per reception of Assessment report:

- available to Technical Body on LIVELINK



## Interaction with the standards development

### Assessments : Formal Vote stage

#### HOME GROWN

#### Dealing with the outcome

- Assessment result '**partial compliance**' – '**lack of compliance**'
  - **NEW** Start of vote suspended for max 12 weeks in order to:
    - to resolve any issues and timely inform CCMC should any changes to the text be necessary
    - decide on challenge of assessment or not
    - Inform/consult BT
  - If within 7 weeks after original assessment no news from the Technical Body → relevant BT Member will be triggered for a proposal to BT within 1 week



→ Immediately inform TC Secretariat and the BT Member of the NSB holding secretariat



## Assessments : Formal Vote stage

Dealing with the outcome. Assessment result  
**'partial compliance' – 'lack of compliance'**

→ Immediately inform TC Secretariat and the BT  
Permanent Delegate of the NC holding secretariat

- No delay of Formal Vote on international text (not modified)
- Start of vote on common mods suspended for max 12 weeks in order to:
  - to resolve any issues and timely inform CCMC should any changes to the text be necessary
  - decide on challenge of assessment or not
  - Inform/consult BT

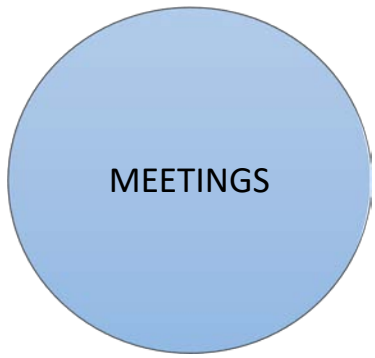
If within 7 weeks after original assessment no news from the Technical Body, relevant BT Member/Permanent Delegate will be triggered for a proposal to BT within 1 week



## Interaction with the standards development

### Assessments : after Formal Vote (exceptional process)

- Technical Body Secretary to send request to CCMC
- Challenge possible (review of assessment)
- EN already made available
  - Technical modifications necessary? → TB to consider appropriate actions, consult BT
- EN not yet made available
  - Immediately inform BT of need to suspend the process
  - 'ratification' or 'making available' suspended for max. 17 weeks

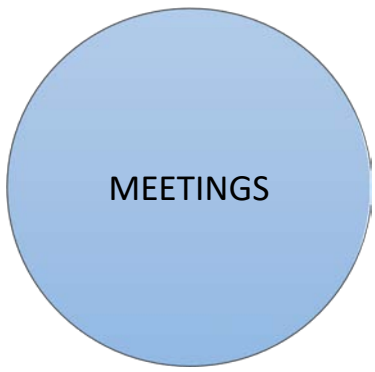


## Interaction with the standards development

### MEETINGS

- Who & How to Request ?
  - European Technical body Secretary (TC level)
  - dedicated mail address: [has.support@be.ey.com](mailto:has.support@be.ey.com)
  - Template provided by EY
- Which meetings?
  - Technical body meetings (TC or WG)
  - European, where relevant: ISO/IEC
  - Related to 'assessment' and/or 'Communication'  
→ advice to contribute to compliance on **WI**
  - **Preference for remote participation**
  - **Request to plan meeting schedule accordingly**
- Sector meetings: check with CCMC





# Interaction with the standards development

## MEETINGS

- Template (will be on BOSS)

<span style="margin-left: 100px;"><b>TEMPLATE FOR REQUESTING MEETING ATTENDANCE BY HAS CONSULTANT(S)</b></span>					
<b>Section 1: Requestor details</b>					
1. European Standardisation Organisation	<input type="checkbox"/> CEN	<input type="checkbox"/> CENELEC	<input type="checkbox"/> ETSI		
2. Technical Committee (TC) or Working Group (WG) represented	Technical Committee:		Working Group:		
3. Name of the person issuing the request ("requestor")	Surname:		Name:		
4. Requestor's position in the TC and/or WG	Position in TC:		Position in WG:		
<b>Section 2: Requested HAS Consultant(s)</b>					
HAS Consultant 1	Surname:		First Name:		
	Directive:		Mandate:		
HAS Consultant 2	Surname:		First Name:		
	Directive:		Mandate:		
HAS Consultant 3	Surname:		First Name:		
	Directive:		Mandate:		
<b>Section 3: Meeting details</b>					
1. Period (Start and end dates) of the full meeting (dd/mm/yy - dd/mm/yy)					
2. Location					
3. Date(s) for which HAS attendance is requested (dd/mm/yy - dd/mm/yy)					
4. Total number of man-days requested					
<b>Meeting details - planning per day</b>	Day 1	Day 2	Day 3	Day 4	Day 5
5.1 Virtual attendance (teleconference) or face-to-face?					
<b>5.2 Scope of the meeting (please provide work item numbers and names!)</b>					
a. Clarification of previous finalised assessments					
b. Clarification of on-going work-items					
c. Other communication					
5.3 TC and/ or WG involved					
5.4 Chairperson of the TC and/or WG					
5.5 Name and number of the different Work Items to be discussed (if applicable)					
5.6 Additional details (especially for "other communication")					



# Interaction with the standards development

## ✓ Areas covered (2018-05-25)

- |                               |  |   |
|-------------------------------|--|---|
| Personal Protective Equipment | ATEX   | Explosives                                    |
| Construction Products         | Pressure Equipment & Simple Pressure Vessels | Metrology                                     |
| Recreational Craft            | Toys   | Machinery (incl. lifts & pesticide equipment) |
| LVD                           | Cableways                                    |   |
| Railways                      | Gas Appliances                               |   |
| Radio Equipment               | Medical Devices,                             |   |
| EMC                           | Pyrotechnics &                               |   |



## Still vacant

Ecodesign, Transport Dangerous Goods

no HAS Consultant: Packaging and Packaging waste (for the moment)



# Interaction with the standards development


## STATUS (2018-05-25)

Wilhelm	Beckermann	ATEX
	Krzystolik	ATEX
Xavier	Lefebvre	ATEX
Ian	Fraser	Cableways
Giancarlo	Bedotti	Construction
Franci	Ceklin	Construction
Vaclav	Hadrava	Construction
	Salazar	Construction
Jürgen	Stenger	Gas Appliances (GAR)
Ivan	Hendrikx	LVD
Christian	Maes	LVD
Jan	Roed	LVD, Toys
Emilio	Borzelli	Machinery
Tony	Hoyland	Machinery
Jean	Jacques	Machinery
Patrick	Kurtz	Machinery
Michiel	Van Eeden	Machinery
Raimonda	Sneigiene	Machinery - Cableways
John	Cotman	Machinery, LVD
Antony	Wilkes	Medical Devices

NEW ASSIGNMENT

Ian	Turner	Metrology
Alexandre	Popa	PED & SPVD
José	Bahima Toha	Personal Protective Equipment (PPE)
Colin	Pimley	PPE
Adam Tomasz	Poscik	PPE
John	Coates	Pyrotechnics, Explosives
Patrick	Lallet	Railway
Franco	Cavaliere	Railway Interoperability
Frederic	Coudert	Railway Interoperability
Bernard	Dumas	Railway Interoperability
Paolo	Umiliacchi	Railway Interoperability
Stuart	Carruthers	Recreational Craft
Klaus	Röder	Recreational Craft
Gerrit	Gremmen	RED, EMCD
Sven	Lundbech	RED, EMCD
Ronald	Storrs	RED, EMCD
Aage	Hillersborg	Toys
Alexander	Rankin	Toys




A light blue circle with a thin black outline, containing the text 'People & tasks' in a dark blue font.

People  
& tasks

## Interaction with the standards development

Strictly within the remit of **EY**:

- Selection and management of Consultants
- Work organisation



People  
& tasks

## Interaction with the standards development

- TC Secretariat/Secretary/Reporting Secretariats
  - more active role in parallel work
    - earlier follow up
    - work programme
    - confirmation of WI on Sreq work programme
    - activating European Experts
    - European elements (Annexes ZA)

People  
& tasks

## Interaction with the standards development

- TC Secretariat/Secretary/Reporting Secretariats
    - New elements to be provided:
      - 'Risk Analysis' & other justifications
      - Normative references
    - Specific problems  
classes/tresholds, perfomance criteria, 80-80 rules, horizontal issues,
    - assessment results, CRM/Empowered prepublication TC meeting/Last Call Meeting
- Implementation of Vienna Agreements

- 1) **MANAGE THE WORK PROGRAMME**  
WI not in Work programme of SReq, no Annex ZA  
→ no assessment!
- 2) **Start early with development of Annexes ZA, risk assessment, normative references**
- 3) **Assessments at drafting stage, before Enquiry, before FV, on voted standard**
- 4) **NEW: Empowered Prepublication TC Meeting & Last Call Meeting**
- 5) **CCMC and BT Members are there to help!**





# Thank You

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